

**SILVERBROOK RESEARCH Pty Ltd**

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09/575, 124

**FACSIMILE MESSAGE**

Date: June 10, 2003  
To: Receptionist Group Art Unit 2876  
Attention: Examiner Jamara A Franklin  
Fax No.: 1(703)308-7722  
Subject: United States Patent Application No. 09/575,124  
Inventor/Assignor: Kia Silverbrook and Paul Lapstun  
Identity-Coded Surface  
Our Ref: NPT003US  
Total Number of Pages (including this) 11

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Attached is an amendment in response to an Office Action from Examiner, Jamara A Franklin dated April 18, 2003.

Regards

Kia Silverbrook  
Silverbrook Research

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PTO/SB/07 (06-00)

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## Certificate of Transmission under 37 CFR 1.8

USSN 09/575,124 (Docket No. NPT003US)  
Response to Office Action dated April 18, 2003I hereby certify that this correspondence is being facsimile transmitted to the  
United States Patent and Trademark Office

on June 16, 2003

Date

*WJR P. 2*

Signature

Kia Silverbrook and Paul Lapstun

Typed or printed name of person signing Certificate

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## REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 133, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.  
 See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/575,124
Filing Date	May 23, 2000
First Named Inventor	Kia Silverbrook
Group Art Unit	2876
Examiner Name	Jamara Alzaida Franklin
Attorney Docket Number	NPT003US

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14665 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

### 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
 (Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on FAX RECEIVED
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Petition for Extension of Time \_\_\_\_\_

JUN 13 2003

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### 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(c) required)
- b. ☐ Other \_\_\_\_\_

### 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☒ Payment by credit card (Form PTO-2038 enclosed) \$750 + \$830 = \$1,680

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	Kia Silverbrook and Paul Lapstun	Registration No. (Attorney/Agent)	N/A
Signature	<i>[Signature]</i>	Date	June 10, 2003

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print Type)		Date	
Signature		Date	

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,680.00

Complete if Known

Application Number 09/575,124  
Filing Date May 23, 2000  
First Named Inventor Kia Silverbrook  
Examiner Name Jamara Alzaida Franklin  
Art Unit 2876  
Attorney Docket No. NPT003US

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																											
<input type="checkbox"/> Check	<input checked="" type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other																																																																																																																																												
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<input type="checkbox"/> The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				<table border="1"> <thead> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051 130</td><td>2051 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052 50</td><td>2052 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053 130</td><td>1053 130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812 2,520</td><td>1812 2,020</td><td>For filing a request for a <i>re parte</i> reexamination</td><td></td></tr> <tr><td>1804 920*</td><td>1804 820*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1605 1,840*</td><td>1605 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251 110</td><td>2251 55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252 410</td><td>2252 205</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253 930</td><td>2253 465</td><td>Extension for reply within third month</td><td>830.00</td></tr> <tr><td>1254 1,450</td><td>2254 725</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255 1,970</td><td>2255 985</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401 320</td><td>2401 160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402 320</td><td>2402 160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403 280</td><td>2403 140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451 1,510</td><td>1451 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452 110</td><td>2452 55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453 1,350</td><td>2453 650</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501 1,300</td><td>2501 650</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502 470</td><td>2502 235</td><td>Design issue fee</td><td></td></tr> <tr><td>1503 630</td><td>2503 315</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460 130</td><td>1460 130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807 50</td><td>1807 50</td><td>Processing fee under 37 CFR 1.17(e)</td><td></td></tr> <tr><td>1806 180</td><td>1806 180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021 40</td><td>8021 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809 750</td><td>2809 375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810 750</td><td>2810 375</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801 750</td><td>2801 375</td><td>Request for Continued Examination (RCE)</td><td>750.00</td></tr> <tr><td>1802 900</td><td>1802 900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr><td colspan="4">*Reduced by Basic Filing Fee Paid</td></tr> <tr><td colspan="4">SUBTOTAL (1) (\$) 830.00</td></tr> <tr><td colspan="4">SUBTOTAL (2) (\$) 1,680.00</td></tr> <tr><td colspan="4">*For number previously paid, if greater. For Reissues, see above</td></tr> </tbody> </table>				Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	1051 130	2051 65	Surcharge - late filing fee or oath		1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet		1053 130	1053 130	Non-English specification		1812 2,520	1812 2,020	For filing a request for a <i>re parte</i> reexamination		1804 920*	1804 820*	Requesting publication of SIR prior to Examiner action		1605 1,840*	1605 1,840*	Requesting publication of SIR after Examiner action		1251 110	2251 55	Extension for reply within first month		1252 410	2252 205	Extension for reply within second month		1253 930	2253 465	Extension for reply within third month	830.00	1254 1,450	2254 725	Extension for reply within fourth month		1255 1,970	2255 985	Extension for reply within fifth month		1401 320	2401 160	Notice of Appeal		1402 320	2402 160	Filing a brief in support of an appeal		1403 280	2403 140	Request for oral hearing		1451 1,510	1451 1,510	Petition to institute a public use proceeding		1452 110	2452 55	Petition to revive - unavoidable		1453 1,350	2453 650	Petition to revive - unintentional		1501 1,300	2501 650	Utility issue fee (or reissue)		1502 470	2502 235	Design issue fee		1503 630	2503 315	Plant issue fee		1460 130	1460 130	Petitions to the Commissioner		1807 50	1807 50	Processing fee under 37 CFR 1.17(e)		1806 180	1806 180	Submission of Information Disclosure Stmt		8021 40	8021 40	Recording each patent assignment per property (times number of properties)		1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))		1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))		1801 750	2801 375	Request for Continued Examination (RCE)	750.00	1802 900	1802 900	Request for expedited examination of a design application		Other fee (specify) _____				*Reduced by Basic Filing Fee Paid				SUBTOTAL (1) (\$) 830.00				SUBTOTAL (2) (\$) 1,680.00				*For number previously paid, if greater. 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SUBMITTED BY		Registration No.		(Complete if applicable)	
Name (Print/Type)	Kia Silverbrook and Paul Lapatin	(Attorney/Agent)		Telephone	+61295186633
Signature	<i>[Signature]</i>	Date	June 10, 2003		

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PTO-2038 (02-2000)

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<b>Credit Card Expiration Date:</b>	06/04			
<b>Name as it Appears on Credit Card:</b>	Silverbrook Research P L Janette Lee			
<b>Payment Amount: \$(US Dollars):</b>	\$ 1680.00			
<b>Signature:</b>	<i>[Signature]</i>		<b>Date:</b> June 16, 2003	

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## Request and Payment Information

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Patent Fee	Patent Maintenance Fee	Trademark Fee	Other Fee
<b>Application No.</b>	<b>Application No.</b>	<b>Serial No.</b>	<b>IDON Customer No.</b>
<b>Patent No.</b>	<b>Patent No.</b>	<b>Registration No.</b>	
<b>Attorney Docket No.</b>		<b>Identify or Describe Mark</b>	
NPT003US			

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**SILVERBROOK RESEARCH Pty Ltd**

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Email: info@silverbrookresearch.com  
ACN 068 573 671

June 10, 2003

Assistant Commissioner for Patents  
Washington, District of Columbia 20231  
USA

Dear Sir

United States Patent Application Serial No. 09/575,124  
Inventor/Assignor: KIA SILVERBROOK and PAUL LAPSTUN  
Assignee: SILVERBROOK RESEARCH PTY LTD  
Title: "IDENTITY-CODED SURFACE"  
Our Ref: NPT003US

The status of the above application has changed from "Small Entity" to "Large Entity".

Please update your records accordingly.

Yours faithfully

Paul Lapstun

Kia Silverbrook

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